

Purpose

The purpose of this document is to provide information to the community on regulatory activities. NSW Government departments carry out regulatory activities to ensure compliance against project and licence conditions.

This document combines summaries on inspections and regulatory actions within NSW for October 2016 as reported by the:

- Department of Planning and Environment
- Environment Protection Authority
- NSW Resources Regulator.

Department of Planning and Environment

Role

The Department of Planning and Environment's Compliance Team works with communities across NSW to ensure projects such as mines, industrial sites, major developments and infrastructure meet the strict conditions included in their approvals.

Activities

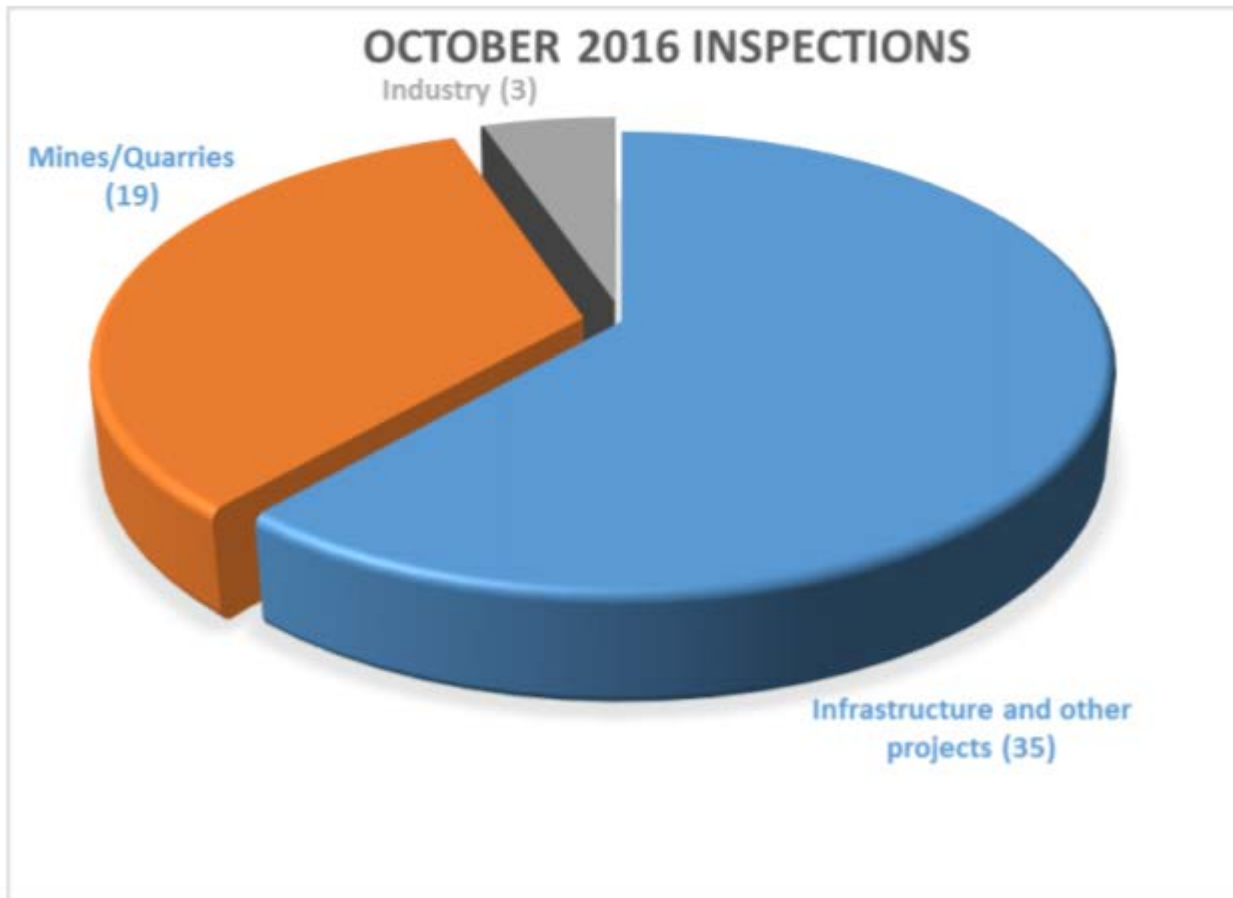
Table 1. Department of Planning and Environment compliance monitoring

Activities	October 2016	2016–17 total
Inspections and surveillance carried out	57	317
New investigations commenced this month	19	105
Review of compliance documentation	25	132
Total compliance monitoring	101	554

Table 2. Department of Planning and Environment enforcement

Activities	October 2016	2016–17 total
Official cautions issued	8	25
Orders imposed	0	8
Penalty notices and fines imposed	10	30
Prosecutions	0	1
Total enforcement	18	64

Figure 1. Department of Planning and Environment inspections



Further information

www.planning.nsw.gov.au/Assess-and-Regulate/Development-Assessment/Compliance

Environment Protection Authority

Role

The Environment Protection Authority (EPA) protects the environment and community in NSW as a:

- leader in protecting air, waterways, land and the health of the community for the future
- partner with communities, government and business to reduce our impact on the environment
- protector holding people and organisations to account through licensing, monitoring, regulation and enforcement.

Activities

The EPA has many regulatory tools it uses to achieve environmental compliance. The following provides a tally of the regulatory actions undertaken by the EPA.

Table 3. Environment Protection Authority activities

Regulatory Action	July–Sept 2016	Oct–Dec 2016
Inspections undertaken	472	414
Prevention Notices issued	5	2
Clean Up Actions issued	41	8
Penalty Notices (non-motor vehicle) issued	53	35
Smokey Vehicle Penalty Notices issued	17	16
Noisy Vehicle Penalty Notices issued	20	357
Penalty Notices for Littering from a motor vehicle issued	1906	1720
Environmental Improvement Programs commenced	3	26
Pollution Reduction Programs commenced	27	34
Other Environmental Programs commenced	23	10
Environmental programs total worth	\$68,520,650	\$ 9,309,000
Prosecutions commenced	50	32
Prosecutions completed	26	26
Total financial penalties imposed	\$452,835	\$463,970
Enforceable Undertakings (EU)	0	1
Prosecutions total worth	\$452,835	\$535,970

Further information

www.epa.nsw.gov.au or call the Environment Line on 131 555.

NSW Resources Regulator

Role

The NSW Resources Regulator, established on 1 July 2016, is responsible for the compliance and enforcement functions across mining and energy sectors, and for driving continuous improvement across all regulatory functions of the Department of Industry.

This includes working with industry, community, local councils and other state government agencies to provide a consistent and responsive regulatory approach to ensure compliance with the:

- *Mining Act 1992* (Mining Act)
- *Work Health and Safety Act 2011* (WHS Act)
- *Work Health and Safety (Mines and Petroleum Sites) Act 2013* (WHS(M&PS) Act)
- other relevant legislation and associated regulations.

Activities

Below is a snapshot of the NSW Resources Regulator's activities for October 2016.

Table 4. NSW Resources Regulator activities

Regulatory Action	October 2016
Compliance training and education	15
Mine Safety targeted assessments	6
Compliance audits	6
Mine safety inspections	163
Mining Act non-compliances lodged	157
Mine safety incident notifications	151
Prosecution commenced	1
Prohibition notices issued	12

Further information:

Further information about significant activities of the Resources Regulator can be found through regular media releases. These are published on the NSW Resources Regulator's website at www.resourcesandenergy.nsw.gov.au/regulation.

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Disclaimer: The information contained in this publication is based on knowledge and understanding at the time of writing, April 2017. However, because of advances in knowledge, users are reminded of the need to ensure that the information upon which they rely is up to date and to check the currency of the information with the appropriate officer of the Department of Industry or the user's independent advisor.